



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1. Inventor(s) Name: **Phillips et al.**)
2. Patent Application of: **Phillips et al.**)
3. Serial No. **10/706,142**)
4. Filing Date: **November 12, 2003**)
5. Confirmation No. **6069**)
6. For: **Methods for Forming Security
Articles Having Diffractive
Surfaces and Color Shifting
Backgrounds**)
7. Atty Docket No.: **78384 18-32 US DIV1**)
8. Art Unit: **1732**)
9. Examiner: **Mathieu D. Vargot**)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
U.S.A.

RESPONSE

Dear Sir:

In response to the Non-Final Office Action January 17, 2007, please consider the following Remarks and Appendices related to the above-identified application as follows:

Remarks begin on page 2 of this paper.

An **Appendix** including two Declarations under Rule 132 are attached.

An **Appendix** including Samples is attached.
